

Consultation on decision-making criteria

Consultation questionnaire form

This form is designed to be completed electronically—in MS Word. Please save it locally before and after completing it.

For the SRA's published decision-making criteria, please visit our website:

• www.sra.org.uk/sra/how-we-work/decision-making/criteria.page

Question 1

Do you think that the criteria that we have published are clear and can be understood easily by all of our stakeholders and users, including lawyers and non-lawyers?

Yes 🛛

No

Comments

Subject to the comments and amendments suggested in the sections listed below:

ISSUE A LETTER OF ADVICE:

- Agreed, subject to deletion of "in the foreseeable future" in the last bullet point, i.e. "It is improbable that the breach would be repeated [in the foreseeable future]."

MAKE AN ORDER TO EXAMINE FILES:

- Agreed, subject to addition of ALLEGED in the following points

* ALLEGED professional misconduct by a solicitor

* Whether any professional services provided by a solicitor were ALLEGED TO BE not of the quality etc.

* Whether any professional services provided by a recognised body were ALLEGED TO BE not of the quality etc.

For alternative formats, email contactcentre@sra.org.uk or telephone 0870 606 2555.

REMOVE A NAME FROM THE ROLL OF SOLICITORS:

- Accepted subject to the addition of the following words in capital in the Scope of the document:

* This document applies to decisions made to remove an individual's name from the roll of solicitors ON THAT PERSON'S APPLICATION.

RESTORE A NAME TO THE ROLL OF SOLICITORS:

- Accepted subject to the addition of the following words in capital to the Scope of the document:

* This document applies to decisions in relation to applications to restore an individual's name to the roll of solicitors ON THAT PERSON'S APPLICATION

- N.B. Bullet point starting with "Where relevant, any discretion we have to grant" is duplicated.

Question 2

We are committed to ensuring that our decision-making process is more transparent. Do you think that publishing our criteria will help us to achieve this?

Yes 🛛

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No

Comments

Question 3

Do you think it would be helpful for us to refer to the specific published decisionmaking criteria when we make our decisions?

Yes	\boxtimes
No	

Comments



Question 4

Would it be helpful if the criteria, or our website, gave examples of how the criteria might be applied in practice?

Yes	\boxtimes
No	

No

Question 5

At the moment, the decision-making criteria are only available on our website. Would you like the information to be available elsewhere?

Yes	
No	\boxtimes

Comments

(If appropriate, indicate where you like the information to be available.)

Website access should be sufficient.

Question 6

Do you think the application of these criteria could have an adverse impact on any of the following groups within the profession? (Please select all of the options that you think apply.)

Older or younger solicitors
Men or women
Solicitors with a disability
Solicitors from any particular ethnic background

Comments

No.

Question 7

If you have any other comments about the criteria or their application, please add them here.

Comments

None.

Thank you for completing the **Consultation questionnaire form**.

Please save a copy of the completed form.

Please return it, along with your completed **About you form**, as an email attachment to consultation@sra.org.uk, by **8 May 2009**.

Alternatively, print the completed form and submit it by post, along with a printed copy of your **About you form**, to

or

Cerys Jones Policy Support Unit Solicitors Regulation Authority 8 Dormer Place Leamington Spa Warwickshire CV32 5AE Cerys Jones Policy Support Unit Solicitors Regulation Authority DX 292320 Leamington Spa 4